Docket No.: 3364P154

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KYUNG-HYUN PARK, ET AL.

Art Group:

Application No.:

Examiner:

For: MULTI DFB LASER DIODE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLF

Eric S. Hyman, **Æ**¢g. No. 30,139

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Date: December 01, 2003

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Substitute	for form 1449A/PTO			Complete if Known		
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INFORMATION DISCLOSURE				Filing Date		
STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Kyung-Hyun PARK	
				Art Unit		
				Examiner Name		
Sheet	1	of	2	Attorney Docket Number	3364P154	

U.S. PATENT DOCUMENTS								
Examiner Cite Document Number Publication Date Name of Patentee or Pages, Column								
Initials*	Cite No. ¹	Number - Kind Code² (if known)		Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-6,122,306	09-19-2000	Sartorius et al.				
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite	Foreign Patent Document			Pages, Columns, Lines.	т6			
	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		KR 1020010099042	11-09-2001	Lee, Doo Hwan					
		KR 1002384220000	10-13-1999	Korea Electronics & Telecommunications Research Institute and Korea Telecom					
		KR 1002890400000	02-14-2001	Electronics and Telecommunications Research Institute KT Corporation					
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Substitute	for form 1449A/PTO			C	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number			
				Filing Date			
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				Art Unit			
(use as many sneets as necessary)				Examiner Name			
Sheet	2	of	2	Attorney Docket Number	3364P154		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		Y.A. LEEM, et al.; "STUDY ON OPTIMIZED LASER DIODE"; WDM Photonic Devices Team and Optoelectronic Materials Team, ETRI (two pages)				
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		MARTIN MOHRLE, et al.; "Detuned Grating Multisection-RW-DFB Lasers Processing"; IEEE Journal on Selected Topics in Quantum Electronics, Vol. 7, No. 2, March/April 2001 (pp. 217-223)				
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Examiner	Date	-	_	
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